

 <b>US Dept. of Commerce</b> <b>PATENT &amp; TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> <small>(Use several sheets if necessary)</small> <b>OCT 01 2004</b>	<b>ATTY DOCKET NO.</b> <b>A2124-US-CIP</b>  <b>APPLICANT</b> <b>Dirk Balfanz et al.</b>  <b>FILING DATE</b> <b>09/05/2003</b>	<b>APPLICATION NO.</b> <b>10/656,550</b>  <b>GROUP ART UNIT 2131</b>
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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE	CLASS	SUB CLASS
HS	5,408,250	04/18/1995	Bier	345	169
	6,243,373	06/05/2001	Turock	370	352
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	US 2004/0088548 A1	05/06/2004	Smetters et al.	713	175
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	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION Y/N
HS	WIPO	WO 99/41876	08/19/1999	Telefonaktiebolaget LM Ericsson	Y

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HS	U.S. Patent Application No. 10/424,191 entitled "System and Method for Establishing Secondary Channels" to Conley et al.
	U.S. Patent Application No. 10/656,439 entitled "Method, Apparatus, and Program Product for Securely Presenting Situation Information" to Smetters et al.
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		01/25/2007

Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. A2124-US-CIP	APPLICATION NO. 10/656,550
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT Dirk Balfanz et al.	
		FILING DATE 09/05/2003	GROUP ART UNIT 2131
OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)			
HS	SCHNEIER, BRUCE: "Applied Cryptography: Protocols, Algorithms, and Source Code in C" 1996, John Wiley & Sons, New York, US, Section 8.3 "Transferring Keys"		
	STAJANO, F. AND ANDERSON, R.: "The Resurrecting Duckling: Security Issues for Ad-hoc Wireless Networks" 1999, AT&T Software Symposium, 'Onlinel' 15 September 1999 (1999-09-15)		
	WHITEHEAD, JR., E. ET AL., "WebDAV, A Network Protocol for Remote Collaborative Authoring on the Web, " pp. 1-21 (1999) [Retrieved from the Internet at <a href="http://citeseer.nj.nec.com/whitehead99webdav.html">http://citeseer.nj.nec.com/whitehead99webdav.html</a> on March 11, 2003].		
↓	WHITEHEAD, JR., E. ET AL., "Lessons from WebDAV for the Next Generation Web Infrastructure," Department of Information and Computer Science, University of California [Retrieved from the Internet at <a href="http://www.ics.uci.edu/~ejw/http-future/Whitehead/http_pos_paper.html">http://www.ics.uci.edu/~ejw/http-future/Whitehead/http_pos_paper.html</a> on September 20, 2002].		
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Form PTO-1449 <b>O I P E</b> PATENT & TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) NOV 26 2003 PAPERTAIL	US Dept. of Commerce ATTY DOCKET NO. D/A2124i	APPLICATION NO. 10/656,550
	APPLICANT Dirk Balfanz et al.	
	FILING DATE 9/5/2003	GROUP ART UNIT

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	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION Y/N

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HS	U. S. Provisional Patent Application No. 60/480,909 entitled "Methods and Apparatus for Establishing and Using a Secure Credential Infrastructure " to Diana K. Smetters et al., filed June 24, 2003.
HS	U.S. Patent Application No. 10/066,699 entitled "Systems and Methods for Authenticating Communications in a Network Medium" to Dirk Balfanz et al., filed February 6, 2002.
HS	U.S. Patent Application No. 10/231,194 entitled "Apparatus and Methods for Providing Secured Communication" to Dirk Balfanz et al., filed August 30, 2002.

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<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)	<b>APPLICANT</b> <b>Dirk Balfanz et al.</b>	
	<b>FILING DATE</b> <b>9/5/03</b>	<b>GROUP ART UNIT</b> <b>2131</b>

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**INFORMATION DISCLOSURE STATEMENT**  
**(Use several sheets if necessary)**

ATTY DOCKET NO.  
D/A2124i

**APPLICATION NO.**  
**10/656,550**

**APPLICANT** Dirk Balfanz et al.

FILING DATE 9/5/03

GROUP ART UNIT 2131

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION Y/N

**OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)**

HS	BARDRAM, JAKOB E. ET AL. "Context-Aware User Authentication-Supporting Proximity-Based Login in Pervasive Computing", A.K. Dey et al. (Eds.): UbiComp 2003, LNCS 2864, pp. 107-123, 2003.
HS	GEER, DANIEL E. ET AL. "Token-Mediated Certification and Electronic Commerce", Proceedings of the Second USENIX Workshop on Electronic Commerce, Oakland, California, November 1996.
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HS	"ACC: Automatic Cryptographic Configuration of Embedded Devices" XML Trust Center White Paper 19 <sup>th</sup> February 2002, <a href="http://research.verisign.com/Papers/ACC1.html">http://research.verisign.com/Papers/ACC1.html</a>

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